



American Concrete Institute®
Advancing concrete knowledge

The Art of Designing Ductile Concrete in the Past 50 Years: The Impact of the PCA Book and Mete A. Sozen, Part 1

ACI Fall 2012 Convention
October 21 – 24, Toronto, ON

ACI
WEB SESSIONS



Dr. Gustavo J. Parra-Montesinos is the C.K. Wang Professor of Structural Engineering at the University of Wisconsin-Madison. He obtained his M.S. and Ph.D. degrees from the University of Michigan, where he served as a faculty member between 2000 and 2012. Professor Parra's main research interests include the behavior and design of reinforced concrete, fiber reinforced concrete, and hybrid steel-concrete structures. He is member of several committees of the American Concrete Institute, including the ACI Building Code Committee 318. In addition, he is Chair of ACI Committee 335, Composite and Hybrid Structures. Professor Parra has received several professional awards, including the ACI Wason Medal for Most Meritorious Paper, the ACI Chester Paul Siess Award for Excellence in Structural Research, the ASCE Walter L. Huber Research Prize, the EERI Shah Family Innovation Prize from the Earthquake Engineering Research Institute, and the ACI Young Member Award for Professional Achievement. He is also a ACI Fellow.

ACI
WEB SESSIONS

DESIGN FOR CONTROLLING SHEAR STRENGTH DECAY IN RC MEMBERS: From Stirrups to Fiber Reinforcement

Gustavo J. Parra-Montesinos
C.K. Wang Professor of Structural Engineering
University of Wisconsin-Madison

James K. Wight
F.E. Richart, Jr. Collegiate Professor
University of Michigan

OUTLINE

- State of affairs at time of publication of PCA Book (1961; 1963 ACI Code)

OUTLINE

- State of affairs at time of publication of PCA Book (1961; 1963 ACI Code)
- Shear strength decay in RC flexural members (focused on beams)
 - Identification of phenomenon, causes, and mitigation methods (late 1960s – 1980)
 - From research to practice (1983 ACI Building Code)
 - 1990s
 - An alternative solution (2000s)

OUTLINE

- State of affairs at time of publication of PCA Book (1961; 1963 ACI Code)
- Shear strength decay in RC flexural members (focused on beams)
 - Identification of phenomenon, causes, and mitigation methods (late 1960s – 1980)
 - From research to practice (1983 ACI Building Code)
 - 1990s and early 2000s
 - An alternative solution (2000s)
- Summary and recommendations

1961 – PCA Book

Shear design (beams):

- Capacity design introduced for the first time

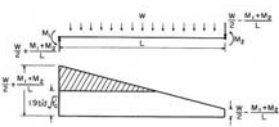
$$V_u = \frac{M_u^+ + M_u^-}{L} + \frac{wL}{2}$$


Fig. 6-1. Shear diagram for a beam of ultimate moment at both ends with the gravity load limited to the dead load plus fixed live load.

1961 – PCA Book

Shear design (beams):

- No decay of shear resistance recognized (no data available yet). However, peak shear stress limited to $6\sqrt{f_c'}$

$$v_n = v_c + v_s$$

$$v_c = 1.9\sqrt{f_c'}$$

$$v_s = \frac{A_v f_y}{b_w s}$$

1961 – PCA Book

Shear design (beams):

- No decay of shear resistance recognized (no data available yet). However, peak shear stress limited to $6\sqrt{f_c'}$
- Need for confinement reinforcement identified

Within $4d$ from support:

$$(A_v)_{min} = 0.15\rho b_w s$$

$$s \leq d/2$$

Within $2d$ from support:

Closed ties with 135° hooks at $s_{max} = \min(d/2, 16\phi_b, 12 \text{ in})$

1963 ACI Building Code

Shear design (neglecting ϕ factor):

$$v_u = \frac{V_u}{b_w d} \leq 10\sqrt{f_c'}$$

$$v_n = v_c + v_s$$

$$v_c = 1.9\sqrt{f_c'} + 2500\rho_w \frac{Vd}{M} \leq 3.5\sqrt{f_c'}$$

$$v_s = \frac{A_v f_y}{b_w s}$$

1963 ACI Building Code

Shear design (neglecting ϕ factor):

$$v_u = \frac{V_u}{b_w d} \leq 10\sqrt{f_c'}$$

$$v_n = v_c + v_s$$

$$v_c = 1.9\sqrt{f_c'} + 2500\rho_w \frac{Vd}{M} \leq 3.5\sqrt{f_c'}$$

$$v_s = \frac{A_v f_y}{b_w s}$$

$s \leq d/2$ for $v_u \leq 6\sqrt{f_c'}$

$s \leq d/4$ for $v_u > 6\sqrt{f_c'}$


$$(A_v)_{min} = 0.0015b_w s$$

No modifications for earthquake-resistant design

FLEXURAL MEMBERS UNDER LOAD REVERSALS

McCullister, Siess & Newmark (1954):

- Evaluated effect of loading in one direction on strength and ductility when loaded in reversed direction (one cycle)



FLEXURAL MEMBERS UNDER LOAD REVERSALS

McCollister, Siess & Newmark (1954):

- Evaluated effect of loading in one direction on strength and ductility when loaded in reversed direction (one cycle)
- Sufficient transverse reinforcement to prevent shear failures

FLEXURAL MEMBERS UNDER LOAD REVERSALS

McCollister, Siess & Newmark (1954):

- Evaluated effect of loading in one direction on strength and ductility when loaded in reversed direction (one cycle)
- Sufficient transverse reinforcement to prevent shear failures
- First use of $V_c = 0$?

The spacing was either 4 or 6 in. and was chosen so that the stirrups would be capable of carrying all of the predicted maximum shear force at a unit stress not in excess of their yield point.

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Burns & Siess (1962; 1966):

- Likely first comprehensive research on behavior of RC flexural members under load reversals
- Shear failures prevented ($V_c = 0$)
- Low shear stresses ($v_u \leq 3\sqrt{f_c'}$)

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Burns & Siess (1962; 1966):

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Brown & Jirsa (1970; 1971):

- Shear strength decay phenomenon explicitly recognized

$v_u \approx 200 \text{ psi}; v_s \approx 340 \text{ psi}; a/d = 6; \Delta_{max} = 10\Delta_y \approx 11\% \text{ drift}$

$v_u \approx 400 \text{ psi}; v_s \approx 420 \text{ psi}; a/d = 3; \Delta_{max} = 10\Delta_y \approx 11\% \text{ drift}$

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Brown & Jirsa (1970;1971):

"...shear was the major factor governing behavior. The apparent shear failure was produced by abrasion over a surface formed by a combination of diagonal tension cracks and nearly vertical flexural tension cracks resulting from load reversals."

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Brown & Jirsa (1970;1971):

“...shear was the major factor governing behavior. The apparent shear failure was produced by abrasion over a surface formed by a combination of diagonal tension cracks and nearly vertical flexural tension cracks resulting from load reversals.”

“...higher deflection limits...reduced the number of cycles to failure...”

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Brown & Jirsa (1970;1971):

“...shear was the major factor governing behavior. The apparent shear failure was produced by abrasion over a surface formed by a combination of diagonal tension cracks and nearly vertical flexural tension cracks resulting from load reversals.”

“...higher deflection limits...reduced the number of cycles to failure...”

“Reducing the stirrup spacing increased significantly the number of cycles to failure...”

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Brown & Jirsa (1970;1971):

“...shear was the major factor governing behavior. The apparent shear failure was produced by abrasion over a surface formed by a combination of diagonal tension cracks and nearly vertical flexural tension cracks resulting from load reversals.”

“...higher deflection limits...reduced the number of cycles to failure...”

“Reducing the stirrup spacing increased significantly the number of cycles to failure...”

“Reduction of the shear span...resulted in failure in fewer cycles.”

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Wight & Sozen (1973; 1975):

- Effect of axial load on shear strength decay evaluated
 - “...decay in shear strength is less in elements with higher axial loads, everything else being equal”

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Wight & Sozen (1973; 1975):

- Effect of axial load on shear strength decay evaluated
 - “...decay in shear strength is less in elements with higher axial loads, everything else being equal”
- Evaluation of change in shear resisting mechanisms

FLEXURAL MEMBERS UNDER LOAD REVERSALS

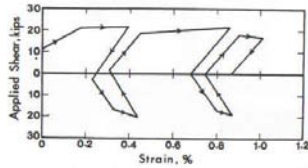
Wight & Sozen (1973; 1975):

- Effect of axial load on shear strength decay evaluated
 - “...decay in shear strength is less in elements with higher axial loads, everything else being equal”
- Evaluation of change in shear resisting mechanisms

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Wight & Sozen (1973; 1975):

"As this process [increase in permanent strain of stirrups] is repeated, the concrete section, which must ultimately provide the compressive thrust, becomes distorted. As a result, the shear strength decays."



FLEXURAL MEMBERS UNDER LOAD REVERSALS

Wight & Sozen (1973; 1975):

"...if reinforced concrete elements are designed to resist earthquake effects by energy dissipation in the inelastic range, the transverse reinforcement must be designed to carry the entire shear."

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Wight & Sozen (1973; 1975):

"...if reinforced concrete elements are designed to resist earthquake effects by energy dissipation in the inelastic range, the transverse reinforcement must be designed to carry the entire shear."

"...the use of closely spaced stirrups that are designed to carry all of the shear does not necessarily prevent shear failures..."

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Wight & Sozen (1973; 1975):

"...if reinforced concrete elements are designed to resist earthquake effects by energy dissipation in the inelastic range, the transverse reinforcement must be designed to carry the entire shear."

"...the use of closely spaced stirrups that are designed to carry all of the shear does not necessarily prevent shear failures..."

"...spacing of the stirrups should not exceed one-fourth of the effective depth."

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Popov, Bertero & Krawinkler (1972):

- Evaluated behavior of three RC beams under large shear reversals ($v_u \approx 6\sqrt{f'_c}$)

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Popov, Bertero & Krawinkler (1972):

- Evaluated behavior of three RC beams under large shear reversals ($v_u \approx 6\sqrt{f'_c}$)
- Degradation of shear resistance attributed to:
 - Deterioration of bond between stirrups and concrete
 - Loss of aggregate interlocking due to abrasion of cracked surfaces

FLEXURAL MEMBERS UNDER LOAD REVERSALS

Popov, Bertero & Krawinkler (1972):

- Evaluated behavior of three RC beams under large shear reversals ($v_u \approx 6\sqrt{f'_c}$)
- Degradation of shear resistance attributed to:
 - Deterioration of bond between stirrups and concrete
 - Loss of aggregate interlocking due to abrasion of cracked surfaces

"...it appears to be advisable to neglect the shear resistance of the concrete, V_c , in the shear design of flexural members subjected to load reversals."

FLEXURAL MEMBERS UNDER LOAD REVERSALS

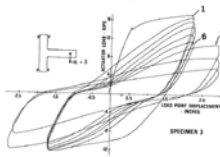
Scribner & Wight (1978; 1980):

- Evaluated effect of shear stress level and presence of intermediate longitudinal reinforcement on shear strength decay

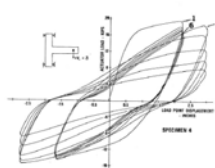
FLEXURAL MEMBERS UNDER LOAD REVERSALS

Scribner & Wight (1978; 1980):

- Intermediate reinforcement ($A_i \approx 0.25A_s$) was found most effective in members subjected to shear stresses between 3 and $6\sqrt{f'_c}$



without intermediate bars
 $v_u = 3\sqrt{f'_c}$; $v_s = 3\sqrt{f'_c}$



with intermediate bars
 $v_u = 3.5\sqrt{f'_c}$; $v_s = 3\sqrt{f'_c}$

ACI BUILDING CODE

1983 ACI Code first to recognize shear strength decay in flexural members

- $V_c = 0$ (beams)

ACI BUILDING CODE

1983 ACI Code first to recognize shear strength decay in flexural members

- $V_c = 0$ (beams)
- V_u based on member reaching expected moment capacity

ACI BUILDING CODE

1983 ACI Code first to recognize shear strength decay in flexural members

- $V_c = 0$ (beams)
- V_u based on member reaching expected moment capacity
- Hoops required over $2h$ from support
 - $s_{max} = \min(d/4; 8(d_b)_{long}; 24(d_b)_{hoop}; 12 \text{ in})$
- Every other longitudinal bar in outermost layers must be supported as for columns

ACI BUILDING CODE

1983 ACI Code first to recognize shear strength decay in flexural members

- $V_c = 0$ (beams)
- V_u based on member reaching expected moment capacity
- Hoops required over $2h$ from support
 - $s_{max} = \min(d/4; 8(d_b)_{long}; 24(d_b)_{hoop}; 12 \text{ in})$
 - Every other longitudinal bar in outermost layers must be supported as for columns

These provisions have remained unchanged, except for the maximum allowed spacing, which was modified in 2011

- $s_{max} = \min(d/4; 8(d_b)_{long}; 24(d_b)_{hoop}; 6 \text{ in})$

ACI BUILDING CODE

- Provisions in ACI Building Code are minimum requirements

ACI BUILDING CODE

- Provisions in ACI Building Code are minimum requirements
- If you want to stay out of trouble

ACI BUILDING CODE

- Provisions in ACI Building Code are minimum requirements
- If you want to stay out of trouble

KEEP SHEAR STRESSES LOW

ACI BUILDING CODE

- Provisions in ACI Building Code are minimum requirements
- If you want to stay out of trouble

KEEP SHEAR STRESSES LOW

- If possible, keep $v_u \leq 3\sqrt{f'_c}$

ACI BUILDING CODE

- Provisions in ACI Building Code are minimum requirements
- If you want to stay out of trouble

KEEP SHEAR STRESSES LOW

- If possible, keep $v_u \leq 3\sqrt{f'_c}$
- For $3\sqrt{f'_c} \leq v_u \leq 6\sqrt{f'_c}$, use intermediate longitudinal reinforcement (also enhances joint behavior)

ACI BUILDING CODE

- Provisions in ACI Building Code are minimum requirements
- If you want to stay out of trouble

KEEP SHEAR STRESSES LOW

- If possible, keep $v_u \leq 3\sqrt{f'_c}$.
- For $3\sqrt{f'_c} \leq v_u \leq 6\sqrt{f'_c}$, use intermediate longitudinal reinforcement (also enhances joint behavior)
- If $v_u > 6\sqrt{f'_c}$, say **NO**

1990s – Early 2000s

- Significant focus on defining relationship between V_c and member deformation (primarily applied to columns): e.g., work at UC Berkeley, UC San Diego
- Substantial work also on estimating drift capacity of columns (e.g., Purdue Univ., UC Berkeley; Japan)

ADDRESSING SHEAR STRENGTH DECAY AT THE MATERIAL LEVEL

Use of a material with higher tension and compression ductility should lead to a slower shear strength degradation with displacement cycles

ADDRESSING SHEAR STRENGTH DECAY AT THE MATERIAL LEVEL

Fiber reinforced concrete with tensile strain-hardening behavior (HPFRC) and compression behavior similar to well-confined concrete

HPFRC FLEXURAL MEMBERS UNDER DISPLACEMENT REVERSALS

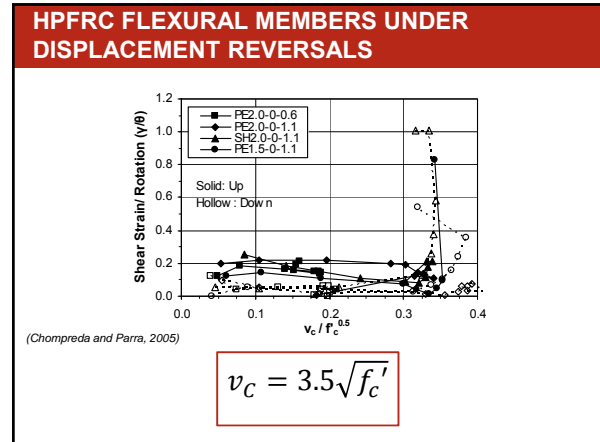
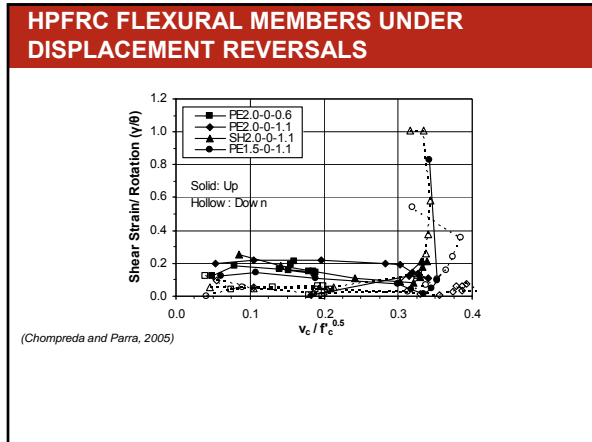
- RC member with closed hoops at $d/4$; $V_c = 0$
- HPFRC member **DID NOT** contain transverse reinforcement

(Chompreda and Parra, 2005)

HPFRC FLEXURAL MEMBERS UNDER DISPLACEMENT REVERSALS

$v_u = 4.2\sqrt{f'_c}$ $v_u = 4.9\sqrt{f'_c}$

(Chompreda and Parra, 2005)



- ### SUMMARY & RECOMMENDATIONS
- Shear strength decay is primarily affected by:
 - Displacement demand, shear stress level, axial load
 - Transverse reinforcement detailing (amount, spacing)

- ### SUMMARY & RECOMMENDATIONS
- Shear strength decay is primarily affected by:
 - Displacement demand, shear stress level, axial load
 - Transverse reinforcement detailing (amount, spacing)
 - *“...the use of closely spaced stirrups that are designed to carry all of the shear does not necessarily prevent shear failures...”* Wight & Sozen, 1975

- ### SUMMARY & RECOMMENDATIONS
- Shear strength decay is primarily affected by:
 - Displacement demand, shear stress level, axial load
 - Transverse reinforcement detailing (amount, spacing)
 - *“...the use of closely spaced stirrups that are designed to carry all of the shear does not necessarily prevent shear failures...”* Wight & Sozen, 1975
 - Best practice to stay out of trouble is to keep shear stresses low ($< 3\sqrt{f'_c}$) and properly confine concrete core

- ### SUMMARY & RECOMMENDATIONS
- Shear strength decay is primarily affected by:
 - Displacement demand, shear stress level, axial load
 - Transverse reinforcement detailing (amount, spacing)
 - *“...the use of closely spaced stirrups that are designed to carry all of the shear does not necessarily prevent shear failures...”* Wight & Sozen, 1975
 - Best practice to stay out of trouble is to keep shear stresses low ($< 3\sqrt{f'_c}$) and properly confine concrete core
 - For moderate shear stress levels (between 3 and $6\sqrt{f'_c}$), consider use of intermediate longitudinal reinforcement

SUMMARY & RECOMMENDATIONS

- Shear strength decay is primarily affected by:
 - Displacement demand, shear stress level, axial load
 - Transverse reinforcement detailing (amount, spacing)
- "...the use of closely spaced stirrups that are designed to carry all of the shear does not necessarily prevent shear failures..." Wight & Sozen, 1975
- Best practice to stay out of trouble is to keep shear stresses low ($< 3\sqrt{f_c'}$) and properly confine concrete core
- For moderate shear stress levels (between 3 and $6\sqrt{f_c'}$), consider use of intermediate longitudinal reinforcement
- Increase of concrete ductility through addition of fibers could provide a way out in members subjected to large shear stress levels ($> 6\sqrt{f_c'}$)

METE SOZEN'S ASSISTANT GRADER OF EARTHQUAKE ENGINEERING HOMEWORKS

