International System of Units

# Guide for Assessment and Surface Preparation for Application of Protection Systems for Concrete

Reported by ACI Committee 515





# Guide for Assessment and Surface Preparation for Application of Protection Systems for Concrete

Copyright by the American Concrete Institute, Farmington Hills, MI. All rights reserved. This material may not be reproduced or copied, in whole or part, in any printed, mechanical, electronic, film, or other distribution and storage media, without the written consent of ACI.

The technical committees responsible for ACI committee reports and standards strive to avoid ambiguities, omissions, and errors in these documents. In spite of these efforts, the users of ACI documents occasionally find information or requirements that may be subject to more than one interpretation or may be incomplete or incorrect. Users who have suggestions for the improvement of ACI documents are requested to contact ACI via the errata website at http://concrete.org/Publications/ DocumentErrata.aspx. Proper use of this document includes periodically checking for errata for the most up-to-date revisions.

ACI committee documents are intended for the use of individuals who are competent to evaluate the significance and limitations of its content and recommendations and who will accept responsibility for the application of the material it contains. Individuals who use this publication in any way assume all risk and accept total responsibility for the application and use of this information.

All information in this publication is provided "as is" without warranty of any kind, either express or implied, including but not limited to, the implied warranties of merchantability, fitness for a particular purpose or non-infringement.

ACI and its members disclaim liability for damages of any kind, including any special, indirect, incidental, or consequential damages, including without limitation, lost revenues or lost profits, which may result from the use of this publication.

It is the responsibility of the user of this document to establish health and safety practices appropriate to the specific circumstances involved with its use. ACI does not make any representations with regard to health and safety issues and the use of this document. The user must determine the applicability of all regulatory limitations before applying the document and must comply with all applicable laws and regulations, including but not limited to, United States Occupational Safety and Health Administration (OSHA) health and safety standards.

Participation by governmental representatives in the work of the American Concrete Institute and in the development of Institute standards does not constitute governmental endorsement of ACI or the standards that it develops.

Order information: ACI documents are available in print, by download, through electronic subscription, or reprint and may be obtained by contacting ACI.

Most ACI standards and committee reports are gathered together in the annually revised the ACI Collection of Concrete Codes, Specifications, and Practices.

American Concrete Institute 38800 Country Club Drive Farmington Hills, MI 48331 Phone: +1.248.848.3700 Fax: +1.248.848.3701

www.concrete.org

# ACI 515.3R-20

# Guide for Assessment and Surface Preparation for Application of Protection Systems for Concrete

Reported by ACI Committee 515

Ann Harrer, Chair

Ralph T. Brown Dianne Carey Jim A. Caruth Stephen D. Disch Ashish Dubey John D. Fauth Todd Gomez Fred R. Goodwin Marc Knapp Oon-Soo Ooi Keith A. Pashina James Vermillion

**Consulting Members** 

Jon B. Ardahl

This document provides general guidance for determining appropriate acceptance criteria for a prepared surface to receive a protection system. There are many techniques for preparation of a surface prior to installation of a protection system, and no single method is ideal for all situations. This guide discusses various preparation methods that can be used to achieve the intended surface condition.

Keywords: coatings; membranes; protection systems; surface preparation.

# CONTENTS

#### CHAPTER 1-INTRODUCTION AND SCOPE, p. 2

1.1—Introduction, p. 2

1.2—Scope, p. 2

# CHAPTER 2—DEFINITIONS, p. 2

2.1—Definitions, p. 2

ACI Committee Reports, Guides, and Commentaries are intended for guidance in planning, designing, executing, and inspecting construction. This document is intended for the use of individuals who are competent to evaluate the significance and limitations of its content and recommendations and who will accept responsibility for the application of the material it contains. The American Concrete Institute disclaims any and all responsibility for the stated principles. The Institute shall not be liable for any loss or damage arising therefrom.

Reference to this document shall not be made in contract documents. If items found in this document are desired by the Architect/Engineer to be a part of the contract documents, they shall be restated in mandatory language for incorporation by the Architect/Engineer.

# **CHAPTER 3—PROJECT EVALUATION, p. 2**

Ronald A. Stankie

- 3.1—Condition assessment of concrete, p. 2
- 3.2—Moisture in and on concrete, p. 4
- 3.3—Concrete surface, p. 6
- 3.4—Previously applied protection systems, p. 7
- 3.5—Contamination of concrete, p. 8
- 3.6—Repair of nonstructural defects, p. 10

### CHAPTER 4—METHODS OF SURFACE PREPARATION, p. 11

- 4.1—Surface cleaning of concrete, p. 11
- 4.2—Abrasive blasting (wet or dry), p. 13
- 4.3—Abrading concrete, p. 13
- 4.4—Hydrodemolition, p. 15
- 4.5—Other procedures, p. 15

# CHAPTER 5—EVALUATION OF SURFACE AFTER PREPARATION, p. 16

5.1—Minimum acceptable levels of preparation for various protection systems, p. 16

5.2—Surface profile, p. 16

5.3—Special concerns for system materials that depend on adhesives, p. 17

All rights reserved including rights of reproduction and use in any form or by any means, including the making of copies by any photo process, or by electronic or mechanical device, printed, written, or oral, or recording for sound or visual reproduction or for use in any knowledge or retrieval system or device, unless permission in writing is obtained from the copyright proprietors.



ACI 515.3R-20 was adopted and published May 2020.

Copyright © 2020, American Concrete Institute.

5.4—Special concerns for materials that depend on bond strength, p. 17

5.5—Special concerns for materials that depend on penetration, p. 18

5.6—Influence of ambient conditions on adhesion, p. 18

#### CHAPTER 6—QUALITY ASSURANCE AND QUALITY CONTROL, p. 18

6.1—Preinstallation conference, p. 18 6.2—Mockups, p. 19

#### CHAPTER 7—REFERENCES, p. 19

Authored documents, p. 20

#### **CHAPTER 1—INTRODUCTION AND SCOPE**

#### 1.1—Introduction

Proper surface preparation is critical to the short- and long-term performance of protection systems applied to concrete. Inadequate surface preparation is one of the most common causes of concrete protection system failures. This guide provides information and recommendations for the evaluation and preparation of concrete surfaces that are to receive a protective system. Various protection systems and their descriptions are provided in ACI 515.2R.

It is important to note that surface preparation requirements may vary with the protection system selected. The protection system manufacturer will typically have specific requirements for prepared surface conditions for their materials, including the surface profile, moisture level, acceptability of cracks, and surface pH. The manufacturer may also provide recommended remediation techniques for addressing surface contamination, surface and substrate moisture, moisture migration, surface profile, cracks, or other qualities of the final prepared surface. Failure to meet the manufacturer's surface preparation requirements may not only impact the protection system's performance but also the manufacturer's warranty, the owner's acceptance, the installer's liability, or any combination thereof.

#### 1.2—Scope

This guide is not intended to and does not supersede the requirements specified or identified by the protection system manufacturer or as required by applicable ordinances or regulations. However, in situations where the best practices identified in this guide differ from the requirements of the protection system manufacturer, the specifier or installer should consider discussing these differences with the owner, the protection system manufacturer, or both, before the system is installed.

This guide is intended for bonded or penetrating surfaceapplied concrete protection systems, such as coatings, linings, and sealers. While information contained herein may be applicable to other concrete protection systems, it is not specifically intended for other concrete protections systems, such as cathodic protection systems, concrete overlays, or integral admixtures. In addition, some sections of this guide may not be applicable to concrete protective systems applied in extreme environments, such as in submerged conditions or at extreme temperatures.

#### **CHAPTER 2—DEFINITIONS**

#### 2.1—Definitions

Please refer to the latest version of ACI Concrete Terminology for a comprehensive list of definitions. Definitions provided herein complement that resource.

**defect**—surface condition that may or may not require repair prior to application of a protection system, depending on the protection system specified and manufacturer's requirements.

**protection systems**—bonded or penetrating surfaceapplied concrete protection systems, such as coatings, linings, and sealers.

#### CHAPTER 3—PROJECT EVALUATION

Surface preparation of concrete is required prior to the application of protection systems when used for either repair/rehabilitation or new construction projects. Whether freshly placed or existing, the concrete being considered for the application of a protection system should be inspected and its condition evaluated in conjunction with the selection of a protection system. This understanding of the subject concrete condition (along with the project constraints and desired protection system performance) will impact both the types and methods of surface preparation used as well as the selection of the protection system itself.

#### 3.1—Condition assessment of concrete

Before selection or application of a protection system, it is prudent to assess the condition of the concrete to which the protection system will be applied. During this assessment, it is important to identify the general concrete characteristics that influence selection and application of a protection system, including pH, moisture content, or surface voids such as honeycombing and bugholes. The condition assessment may include tasks such as the evaluation of the subject concrete element's structural integrity, material properties, presence of moisture or water, presence of contaminants, environmental conditions (including temperature range, weather, chemical exposure, and traffic), and other items that may affect the performance of a protection system and the ability to satisfactorily install the system. ACI 364.1R provides information related to assessment of a concrete structure.

The findings of this condition assessment will determine the appropriate method or combination of methods to be used for providing the surface preparation necessary to meet the requirements of the protection system to be applied. Consider variations in the surface conditions observed in vertical or overhead areas versus those in horizontal areas when choosing surface preparation methods and techniques.

**3.1.1** *Visual inspections*—Begin every condition assessment with a visual inspection of the concrete surface. ACI 201.1R provides information related to visual inspections of concrete.





*Fig. 3.1.1a—Spalled concrete that will likely need to be addressed prior to installation of a protection system.* 



*Fig. 3.1.1b—Spalled concrete that will likely need to be addressed prior to installation of a protection system.* 

Typically, when the concrete is in good condition and the environmental conditions, material properties, and history of the subject concrete are well understood, a visual assessment may be sufficient to provide the necessary understanding to determine the appropriate surface preparation, method(s), and technique(s). However, in many situations, the visual assessment will identify conditions that may require additional evaluation, such as spalled concrete or surface cracking (Fig. 3.1.1a, 3.1.1b, and 3.1.1c), which are discussed in the following subsections.

**3.1.2** *Visible concrete defects*—During a visual assessment, defects on the concrete substrate may exist that could affect the performance of the selected protection system. Based on the specific protection system selected, a manufacturer may require that a surface defect be repaired prior to application. Depending on the defect(s) and the protection system being used, mitigation of the defect(s) may be required. These defects may include conditions such as spalls, cracks, surface voids such as honeycombing or bugholes, excess concrete paste, and sand streaks. Depending on the cause,



Fig. 3.1.1c—Surface craze cracking may not need to be repaired prior to installation of a protection system.

nature, and extent, the observed defects may: a) represent structural integrity issues; b) affect protection system performance; or c) be benign. Evaluation by qualified professionals, including the protective systems technical services representative, a licensed design professional, or both, may be required to access the cause, severity, and impact of the noted defects on the selected system.

**3.1.2.1** *Structural concrete defects*—A structural concrete defect is a condition where the load-carrying capacity or integrity of an element is reduced. A qualified licensed design professional should determine if a structural defect exists, requires repair, or both. Structural repair procedures are beyond the scope of this guide. Refer to ACI 364.1R and ACI 349.3R for guidance on the evaluation of concrete structures before rehabilitation. Refer to ACI 546R, ACI 546.2R, ACI 546.3R, ACI 562, ACI 563, ACI Repair Application Procedures (RAP) documents (RAP-1 through RAP-14), and International Concrete Repair Institute (ICRI) 320.1R for guidance on concrete repair procedures.

**3.1.2.2** Nonstructural concrete defects—A nonstructural concrete defect may include surface cracks, surface distress, and textural features as described in ACI 201.1R. Determining if a nonstructural defect requires removal or repair depends on the nature and extent of the defect(s), and

